

THE CASINO GAMING ACT

The Casino Gaming (Application for Declaration
of Approved Integrated Resort Development)
Regulations, 2012

In exercise of the power conferred upon the Casino Gaming Commission by section 72 of the Casino Gaming Act, the following Regulations are hereby made, with the approval of the Minister:-

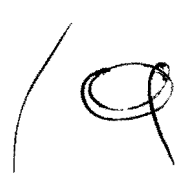
1. These Regulations may be cited as the Casino Gaming (Application for Declaration of Approved Integrated Resort Development) Regulations, 2012.

2. - (1) Subject to regulation 3, an application for the declaration of an integrated resort development as an approved integrated resort development under section 9 of the Act shall -

Schedule. (a) contain the information and documents specified in Part I of the Schedule;

Form A. (b) be delivered under cover of a letter which conforms with Form A in Part II of the Schedule;

Form B. (c) be accompanied by a personal history disclosure form in the form set out as Form B in Part II. of the Schedule, in relation to the applicant, for -

- (i) each individual who is the holder of five per cent or more of the voting capital of the applicant;
 - (ii) the chief executive officer;
 - (iii) each director;
 - (iv) every other officer and senior employee of the applicant named in the application; and
- 

(v) any individual who it is proposed will operate any major component of the proposed integrated resort development;

- (d) be accompanied by a business entity disclosure form in the form set out as Form C in Part II of the Schedule for each business entity which directly or indirectly holds five per cent or more of the voting shares of the applicant or which is a third party operating any major component of the resort development, except that, if the applicant is a subsidiary, then a business entity disclosure form shall be completed by only the ultimate parent company and each business entity that indirectly holds, through the ultimate parent company, five per cent or more of the voting shares of the applicant; and
- (e) be accompanied by a non-refundable application fee of one hundred and fifty thousand United States dollars or the equivalent in Jamaican currency.

Note:

Examples of the application of this Regulation -
Example 1: Company A is the applicant. Company B owns 100% of the voting shares of the applicant. Company C, the ultimate parent company, owns 100% of the voting shares of Company B. The voting shares of Company C are owned equally by 20 other companies (each such owns five per cent of the voting shares of Company C). Thus, each company that owns five per cent of the voting shares of Company C

Form C.

indirectly holds, through Company C (which is the ultimate parent company) five per cent of the voting shares of the applicant. Accordingly, all 20 companies that own five per cent of the voting shares of Company C are required to file a business entity disclosure form.

Example 2: Company A is the applicant. Company B owns 100% of the voting shares of the applicant. Company C, the ultimate parent company, owns 90% of the voting shares of Company B. The voting shares of the Company C are owned equally by 20 other companies (each such company owns five per cent of the voting shares of Company C). Thus, each company that owns five per cent of the voting shares of Company C indirectly holds, through Company C (which is the ultimate parent company) 4.5% per cent of the voting shares of the applicant. Accordingly, none of the 20 companies that own five per cent of the voting shares of Company C are required to file a business entity disclosure form.

An applicant should note that in determining whether a business entity directly holds, through the ultimate parent company, five per cent or more of the voting share of the applicant, the Minister may, in his discretion, aggregate the holdings of two or more business entities where there is an affiliation between or among them such that they may potentially act in concert to pool their power to control the applicant.

3. - (1) Every application for the declaration of an integrated resort development as an approved integrated resort development under section 9 of the Act shall



have a title page which shall contain the following -

**"APPLICATION FOR DECLARATION OF AN APPROVED
INTEGRATED RESORT DEVELOPMENT
by**

(the name and address of the applicant)".

(2) The title page of such application shall be followed by the Table of Contents.

(3) The Table of Contents of such application shall be followed by an Executive Summary in which the applicant shall provide a brief outline of the location, facilities and investment requirements of the proposed integrated resort development.

(4) The body of such application shall follow the Executive Summary which shall contain the documents specified in Part I of the Schedule.

(5) Four hard copies and one electronic copy of such application, the relevant personal history disclosure forms and business entity disclosure form shall be delivered by the applicant to the Financial Secretary.

(6) The pages of such application (except for the title page and Table of Contents) shall be numbered consecutively from the beginning with the final page headed by the words "Final Page".

(7) Any information in such application that the applicant considers to be confidential, proprietary commercial information or a trade secret shall be labelled accordingly throughout the text, and list of those labelled sections shall be placed after the title page and before the table of contents.

(8) The Minister may -

- (a) waive the requirement for any information and document specified in the Schedule; and



(b) require alternative document or information to be provided with the application as a condition of the waiver.

4. - (1) Where the Minister thinks necessary he may, by notice in writing, require an applicant for the declaration of an integrated resort development as an approved integrated resort development to furnish such additional information or document as he may specify.

(2) If a requirement made under this paragraph is not complied with, the Minister may refuse to consider the application concerned.

5. - (1) If a material change occurs in the information, including any plan, specification, drawings or report provided in or in connection with an application for the declaration of an integrated resort development as an approved integrated resort development under section 9 of the Act before the application is determined, the applicant shall as soon as possible give the Minister written particulars of the change verified by statutory declaration.

(2) Particulars of any change given by the applicant are then to be considered to have formed part of the original application for the purposes of the application of subsection (1) to any further material change in the information provided.

SCHEDULE

(Regulation 2)

PART I

***Content of Application for Declaration
of an Approved Integrated Resort Development***

PART A. *General Information about the Applicant*

1. The name of the applicant.

2. Address of its principal office.

Telephone No.: _____ Facsimile No.: _____

E-mail address: _____

3. Legal form of the applicant (for example, limited liability company or partnership).

4. In the case of a company or partnership -

(a) the jurisdiction in which it was incorporated or formed;

(b) date of incorporation or formation.

5. Attach the following -

(a) if the applicant is a company or partnership, a copy of each of the organizational documents of the applicant certified by the supervisory or regulatory body with which the original is lodged;

(b) if the applicant is a company or partnership -

(i) a certificate of incorporation or a copy of the certificate of incorporation certified to be a true copy by the supervisory or regulatory body of the company;

(ii) a certificate of good standing or equivalent document, from the supervisory or regulatory body of the company.

6. Name and nationality of Chief Executive Officer.

7. In the case of a company -

(a) authorized share capital;

(b) issued share capital distinguishing voting and non-voting shares;

(c) in respect of each legal and beneficial owner of five per cent or more of each class of



issued shares of the company -

- (i) name;
- (ii) residential address or in the case of a corporate shareholder, address of principal office; and
- (iii) total shareholding.

8. In the case of a partnership, with respect to each partner -

- (a) the name of the partner;
- (b) business and residential address;
- (c) date of admission to partnership; and
- (d) partnership interest.

9. If the applicant is a subsidiary company, then in respect of its ultimate parent company -

- (a) the name of the parent company;
- (b) address of principal office;
- (c) jurisdiction of incorporation and company registration number;
- (d) name, address and total shareholding of each legal and beneficial owner of five per cent or more of each class of issued shares of the company;
- (e) in respect of each of the directors of the applicant, the Chief Executive Officer, the corporate secretary and other officers and senior employees of the applicant who reports directly to the Chief Executive Officer -

- (i) name;
- (ii) nationality;
- (iii) business and residential addresses;
- (iv) position;
- (v) date of appointment; and



(vi) telephone number and email address.

10. Resumes for the chief executive officer, each director, other officers and senior employees of the applicant named in the application.

PART B. *The Site of the Proposed Resort Development*

1. Identification of the location of the site of the proposed development.

2. Legal description of the proposed site (for example, Volumes and Folio Number of the land in the Register Book of Titles).

3. If the applicant is not the current owner of all of the site, a detailed description of the applicant's legal right to control and develop the site referring to any relevant attachment evidencing such right.

4. Description of any land modifications (for example, drainage of wetland, clearance of forested area, dredging of sea floor) and the infrastructural works (for example, sewerage, water, roadways, piers, jetties) required for the development, estimated times for commencement and completion of such modifications and works.

5. List of approvals, including permits, licences, orders and other similar requirements (other than those required under the Act) necessary for the proposed site to be laid out, prepared and used as proposed in the master plan.

6. For each of the approvals referred to in paragraph 5 a statement regarding -

(a) the status or proposed scheduling of application for approval; and

(b) the anticipated date of grant of approval.

7. Aerial photograph(s) of the site of the proposed



development.

PART C. Description of the Proposed Development

1. Name of the proposed integrated resort development.

2. Detailed master plan and narrative describing the major components of the proposed integrated resort development including the hotel and casino and other entertainment and recreation facilities. If it is deemed necessary or desirable for the purposes of establishing the integrated resort development that a temporary casino be operated, the plan and narrative should include details of the temporary casino operation planned.

3. The total number of hotel rooms to be constructed as part of the proposed integrated resort development in accordance with the master plan and an estimated timetable for commencement, completion of construction and commencement of operation of the integrated resort development or of each phase of the resort if it is proposed to provide hotel rooms for occupancy in phases.

4. Description of the manner in which the integrated resort development is to be operated, including whether any major components thereof will be operated by a third party.

5. With respect to a third party operating any major component of the integrated resort development, provide the same information as is required at Part A with respect to the applicant.

PART D. Feasibility

1. Give a description of the manner in which integrated resort development is proposed to be operated.



2. Documentation demonstrating the availability of adequate financing for the establishment, operation and maintenance of the integrated resort development, including estimated cost of development broken down into major expenditure components.

3. Details of the master plan for financing the proposed integrated resort development, including -

- (a) amounts and estimated timing of major instalments of investment;
- (b) anticipated sources of financing, distinguishing equity and debt; and
- (c) anticipated holders of equity and of debt obligations after each major instalment of investment.

4. Business plan for the enterprise for at least five years from the commencement of hotel operation of the proposed integrated resort development.

PART E. Economic effect

Give a short description of the anticipated overall economic effect of the proposed integrated resort development, including -

- (a) direct and indirect employment;
- (b) direct and indirect economic benefits to the neighbourhood, community and Jamaica; and
- (c) tax revenues.

PART F. EXCLUSIVITY

If the applicant wishes to have exclusivity status within the meaning of section 12 of the Act, a description of the proposed exclusive geographical area, a statement on the desired exclusivity and economic justification therefor.

Note: The Minister will take into account all relevant

factors, including -

- (a) the scope and size of the approved integrated resort development;
- (b) its anticipated overall economic effects including direct and indirect employment; direct economic benefit to the community and tax revenues;
- (c) the total investment required for development; and
- (d) the period necessary for an approved developer to earn a reasonable return on his investment.

PART G. ATTACHMENTS
List Attachments

PART II

FORM A

**Cover Letter to Application for Declaration
of an Approved Integrated Resort Development
under Section 10(a) of the Casino Gaming Act**

To: The Financial Secretary

(Insert name of applicant)

concerned with the establishment of _____
(Name of integrated

_____ *hereby applies to be declared*
resort development)

an Approved Integrated Resort Development for the
purposes of section 9 of the Casino Gaming Act.

We enclose the attached completed application for
consideration.

Please contact _____
(Name and contact information of

authorized representative of applicant)

We undertake, if required, to provide additional
information to the Minister to assist in the con-
sideration of this application and hereby authorize the
Minister to make such investigations, both inside and

- A. YOU MUST MAKE ACCURATE STATEMENTS AND INCLUDE ALL MATERIAL FACTS. ANY MISREPRESENTATION, FALSIFICATION, OMISSION OR THE FAILURE TO PROVIDE UPDATED REQUESTED INFORMATION, MAY RESULT IN THE DENIAL OF THE APPLICATION WITH WHICH THIS FORM IS FILED.
- B. SHOULD YOU BE UNABLE TO UNDERSTAND THIS FORM FULLY IN ENGLISH, IT IS YOUR RESPONSIBILITY TO ACQUIRE ADEQUATE MEANS OF TRANSLATION. IF YOU SUBMIT A DOCUMENT TO THE MINISTER THAT IS IN A LANGUAGE OTHER THAN ENGLISH, YOU MUST ALSO SUBMIT AN ENGLISH TRANSLATION.
- C. READ EACH QUESTION CAREFULLY PRIOR TO ANSWERING. ANSWER EVERY QUESTION COMPLETELY. DO NOT LEAVE BLANK SPACES. IF A QUESTION DOES NOT APPLY TO YOU, INDICATE "DOES NOT APPLY" IN RESPONSE TO THAT QUESTION. FAILURE TO PROVIDE A RESPONSE TO EVERY QUESTION COULD RESULT IN THE REJECTION OF THE APPLICATION WITH WHICH THIS FORM IS FILED.
- D. ALL ENTRIES ON THIS FORM, EXCEPT INITIALS AND SIGNATURES, MUST BE TYPED OR PRINTED IN BLOCK LETTERING. IF YOUR FORM IS NOT LEGIBLE, IT WILL NOT BE ACCEPTED. YOU MUST USE BLUE INK TO PERSONALLY ENTER YOUR INITIALS AND THE DATE IN THE SPACE PROVIDED ON THE BOTTOM OF EACH PAGE OF THE FORM.
- E. IF THE SPACE AVAILABLE IS INSUFFICIENT TO RESPOND TO A QUESTION, YOU ARE TO SUPPLY THE REQUIRED INFORMATION ON AN ATTACHMENT PAGE AND CLEARLY IDENTIFY WHICH QUESTION YOU ARE ANSWERING. THE BLANK PAGE MAY BE USED TO PROVIDE THIS ADDITIONAL INFORMATION. YOU MUST USE BLUE INK TO PERSONALLY ENTER YOUR INITIAL AND THE DATE AT THE BOTTOM OF EACH OF THESE ATTACHMENT PAGES.
- F. IF YOU MAKE ANY MODIFICATION TO THE PRE-PRINTED QUESTIONS OR INFORMATION CONTAINED IN THIS FORM, THE FORM WILL BE REJECTED. ONCE THE FORM IS ACCEPTED, IT BECOMES THE PROPERTY OF THE MINISTER AND WILL NOT BE RETURNED.
- G. CONFIDENTIAL INFORMATION SUPPLIED TO THE MINISTER OR OTHERWISE OBTAINED SHALL NOT BE REVEALED EXCEPT IN THE COURSE OF THE NECESSARY ADMINISTRATION OF THE CASINO GAMING ACT, OR UPON THE LAWFUL ORDER OF A COURT OF COMPETENT JURISDICTION OR, TO THE COMMISSIONER OF POLICE. IF PROVIDED, YOUR TAXPAYER REGISTRATION NUMBER WILL BE USED BY THE MINISTER TO OBTAIN AND VERIFY INFORMATION.

THE ORIGINAL FORM, FOUR PAPER COPIES, AND ONE COMPACT DISC (CD) CONTAINING ALL FORMS MUST BE SENT WITH THE ENTIRE APPLICATION PACKAGE TO THE FINANCIAL SECRETARY.

II. BE SURE TO CHECK TO ENSURE THAT YOU HAVE PLACED YOUR INITIALS AND THE DATE ON THE BOTTOM OF EACH PAGE OF THIS FORM IN THE SPACE PROVIDED AND ON ANY



8. Descriptive information:

Height (cm) _____ Weight (kgs) _____

Colour of eyes _____ Colour of Hair _____

Tattoos, scars or distinguishing marks:

_____Driver's Licence No. (if available):
_____9. Citizenship (*provide a list of countries in which you have citizenship/hold valid passport*):

_____10. Home address (include apartment number, street, city, state, province, postal zone and country):

11. Telephone No: _____ Facsimile No: _____

E-mail: _____

12. Passport particulars (of all valid passports held):

Passport No: _____

Date of issue: _____

Place of issue: _____

Date of expiry: _____

Country of issue: _____

13. Marital status:

 Married Single (never married) Widowed Separated Divorced Common law14. If married give date and place of marriage and full name, include maiden name of wife, if applicable and any other names or aliases and date of birth of spouse:

_____

15. Business Address (name of business, street, city, state, province, postal zone and country):

16. Family members (parents, siblings including half and step siblings, children, including step children and former spouse, if any):

_____/_____/_____
 Surname First Name Middle Name

Date of birth: ____/____/_____
 dd mm YYYY

 Relationship to declarant

 Address of family member

_____/_____/_____
 Surname First Name Middle Name

Date of birth: ____/____/_____
 dd mm YYYY

 Relationship to declarant

 Address of family member

_____/_____/_____
 Surname First Name Middle Name

Date of birth: ____/____/_____
 dd mm YYYY

 Relationship to declarant

 Address of family member

PART B. RESIDENTIAL HISTORY

17. Provide a list of all residence(s) where you have lived during the past ten years, include apartment number, street, city, state, province, postal zone and country:

DATE		ADDRESS (NO., STREET, APT#/FLAT#, CITY/TOWN, STATE/PROVINCE, COUNTRY & ZIP/POSTAL CODE)	OWN OR RENT	NAME, ADDRESS & TELEPHONE NO. OF LANDLORD OR MORTGAGE/ BOND HOLDER, IF KNOWN
FROM	TO			

PART C. EDUCATION AND EMPLOYMENT HISTORY

18. Education history (*list all schools and universities attended after elementary level stating dates and all qualifications gained*):

19. Give full details of your profession or occupation over the past ten years including the names and addresses of all employers and the nature of your employment:

20. List all professional licences ever held and give full details of each:

21. Have you ever applied in any jurisdiction for a licence, permit, registration or other authorization to participate in a lawful gaming enterprise (e.g. casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.) or have you ever been employed, in or had any connection, with a gaming enterprise in any country?

Yes No

22. If the answer to question 21 is yes, give full details including, as applicable, name and address of licensing agency, disposition of application that is, if granted or denied, name and address of gaming enterprise address and relevant dates.

23. Have you ever been suspended, discharged or asked to resign?

Yes No

24. If the answer to question 23 is yes, give details of each occasion where you have been discharged, suspended or asked to resign from employment. Give the date and reason of discharge, suspension or resignation and the name and address of the employer (include street, city, state, province, postal zone and country):

PART D. POSITIONS OF TRUST

25. List all offices, trusteeships, directorships or fiduciary positions held by you with any firm, corporation, association, partnership, charity or other business in the past ten years, include name and full address of body, position, dates position held:

PART E. BANKRUPTCY AND CIVIL HISTORY

26. Has any company or firm of which you or your spouse were a partner, director or officer been or the subject of a winding-up petition?

Yes No

27. If the answer to question 26 is yes, give details of winding up petition (include name of company or firm, address of firm or registered address and relevant dates):

28. Civil proceedings:

Have you or your spouse ever been declared bankrupt or been the subject of a bankruptcy petition?

Yes No

29. If the answer to question 28 is yes, give details, including -

(a) date of bankruptcy proceedings: _____

(b) country(include legal jurisdiction, for example, state, province or department, if applicable): _____

(c) court: _____

(d) outcome of proceedings: _____

30. Have you or your spouse ever had legal proceedings (other than bankruptcy proceedings) instituted against you or ever received a judgment against you in a civil court?

Yes No

31. If the answer to question 30 is yes, give full details below, include -

(a) date: _____

(b) nature of proceedings (include description of claim, statement of claim and defence):

(c) country (include legal jurisdiction, for example, state, province or department, if applicable): _____

(d) court: _____

(e) disposition of claim: _____

PART F. CRIMINAL HISTORY

32. Criminal proceedings:

Have you or your spouse ever been charged or convicted of a criminal offence in any country?

Yes No

33. If the answer to question 32 is yes, give details, including:

(a) date: _____

(b) nature of offence: _____

(c) country(include legal jurisdiction, for example, state, province or department, if applicable): _____

- (d) law enforcement agency: _____
- (e) court: _____
- (f) disposition of charge: _____

PART G. DECLARATION

I CERTIFY that to the best of my knowledge and belief the information given in this disclosure form is true and complete.

I understand that any misrepresentation contained in this form may lead to discontinuation of the processing of the application with which this form is filed and the revocation of any approval granted.

Dated this day of , 20 .

SIGNED: _____

FORM C

THE CASINO GAMING ACT

The Casino Gaming (Declaration of Approved
Integrated Resort Development)
Regulations, 2012

Business Entity Disclosure Form

(under regulation 2(1)(d))

INSTRUCTIONS

PLEASE READ ALL INSTRUCTIONS CAREFULLY BEFORE COMPLETING THIS FORM.

I. COMPLETING THIS FORM:

- A. YOU MUST MAKE ACCURATE STATEMENTS AND INCLUDE ALL MATERIAL FACTS. ANY MISREPRESENTATION, FALSIFICATION, OMISSION OR THE FAILURE TO PROVIDE UPDATED REQUESTED INFORMATION, MAY RESULT IN THE DENIAL OF THE APPLICATION WITH WHICH THIS FORM IS FILED.
- B. SHOULD YOU BE UNABLE TO UNDERSTAND THIS FORM FULLY IN ENGLISH, IT IS YOUR RESPONSIBILITY TO ACQUIRE ADEQUATE MEANS OF TRANSLATION. IF YOU SUBMIT A DOCUMENT TO THE MINISTER THAT IS IN A LANGUAGE OTHER THAN ENGLISH, YOU MUST ALSO SUBMIT AN ENGLISH TRANSLATION
- C. READ EACH QUESTION CAREFULLY PRIOR TO ANSWERING. ANSWER EVERY QUESTION COMPLETELY. DO NOT LEAVE BLANK SPACES. IF A QUESTION DOES NOT APPLY TO YOU, INDICATE "DOES NOT APPLY" IN RESPONSE TO THAT QUESTION. FAILURE TO PROVIDE A RESPONSE TO EVERY QUESTION COULD RESULT IN THE

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REJECTION OF THE APPLICATION WITH WHICH THIS FORM IS FILED.

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- G. CONFIDENTIAL INFORMATION SUPPLIED TO THE MINISTER OR OTHERWISE OBTAINED SHALL NOT BE REVEALED EXCEPT IN THE COURSE OF THE NECESSARY ADMINISTRATION OF THE CASINO GAMING ACT, OR UPON THE LAWFUL ORDER OF A COURT OF COMPETENT JURISDICTION OR, WITH THE APPROVAL OF THE ATTORNEY GENERAL, TO THE COMMISSIONER OF POLICE. IF PROVIDED, YOUR TAXPAYER REGISTRATION NUMBER WILL BE USED BY THE MINISTER TO OBTAIN AND VERIFY INFORMATION.

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II. BE SURE TO CHECK TO ENSURE THAT YOU HAVE PLACED YOUR INITIALS AND THE DATE ON THE BOTTOM OF EACH PAGE OF THIS FORM IN THE SPACE PROVIDED AND ON ANY ATTACHMENT PAGES.

III. BEFORE YOU SUBMIT THIS FORM TO THE MINISTER, BE SURE THAT:

- A. YOU HAVE REVIEWED THE FILING INSTRUCTIONS.
- B. YOU HAVE INCLUDED ALL REQUIRED ATTACHMENTS LISTED IN THIS FORM.
- C. EVERY QUESTION HAS BEEN ANSWERED COMPLETELY.
- D. YOU RETAIN A COMPLETED COPY OF YOUR FORM FOR YOUR OWN RECORDS.
- E. YOU KEEP A BLANK COPY OF THE FORM. WHEN YOU NEED TO UPDATE INFORMATION, YOU CAN USE THE



APPROPRIATE PAGES FROM THE BLANK FORM TO PROVIDE THE INFORMATION.

- F. YOU USE BLUE INK WHERE YOU SIGN, INITIAL AND DATE YOUR FORM. USING BLUE INK WILL MAKE IT CLEAR THAT YOUR FORM IS TO BE CONSIDERED AN ORIGINAL AND NOT A PHOTOCOPY.

PLEASE PRINT OR TYPE YOUR ANSWERS

PART A

1. Name of business.
2. Legal form of business.
3. - (1) Address of registered office if statute in place of formation requires maintenance of a registered office.

(2) Address of principal place of business.
4. Date and place of incorporation or other formation.
5. Registration or other identification number, if any, issued in place of incorporation or formation. *(Attach copy of certificate of incorporation/registration, if any, issued.)*
6. Previous names, if any, of the business and dates of change of name.
7. Provide copies of organizational documents of the business (for example, memorandum and or articles of association).
8. If the business is a company:
 - (a) its authorized share capital;
 - (b) in respect of issued shares -
 - (i) **Voting shares:** set out for each class of shares and in respect of each holder of five per cent or more of the Class -

Name of Share Holder	Residential and Business Address	Class of Shares	No. of Shares	% of Shares of the Class

- (ii) **Non-voting shares:** set out for each class of shares and in respect of each holder of five per cent or more of the Class -

Name of Share holder	Residential and Business Address	Class of Shares	No. of Shares	% of Shares of the Class

9. In respect of any other type of business, set out in respect of legal or beneficial holders of ownership interests in the enterprise or in the assets of the enterprise:

Name of each holder of ownership interest	Residential and Business Addresses	% of ownership of the enterprise or assets of the enterprise	Voting power incident to ownership

10. Provide full details of all holding, subsidiary, associate and/or otherwise related companies. Please provide a chart showing the present group structure also:

PART B

11. Set out for each of the directors or trustees of the business -

- (a) name;
- (b) position in the business;
- (c) current residential address;
- (d) date and place of birth.

12. Set out for -

- (a) the Chief Executive Officer;
- (b) other holders of offices provided for by the business organizational documents; and
- (c) senior employees reporting directly to the Chief Executive Officer -
 - (i) name;
 - (ii) position in the business;
 - (iii) current residential address;
 - (iv) date and place of birth.

PART C

13. Provide as attachment -

- (a) the latest audited financial statements of the business; and
- (b) the latest annual report to shareholders or other owners.

14. Has the business, any company owned by it or any associate company, ever been declared insolvent or declared itself insolvent?

Yes No

15. If your answer to question 14 is yes, give details:

16. Has the business, any company owned by it any associate company ever been placed under financial administration by an external party (e.g. liquidation, receivership)?

Yes No

17. If your answer to question 16 is yes, give details:

PART D

18. Has the business, any company owned by it or any associated company, ever applied in any jurisdiction

for a licence, permit, registration or other authorization to participate in the gaming or wagering industry (for example, casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.), or has such a licence, permit, registration or other authorization ever been refused, suspended or revoked?

Yes No

19. If your answer to question 18 is yes, give full details including, as applicable, name and address of licensing agency, full regulatory history and relevant dates:

20. Provide details of any criminal or civil action which has involved the business, any company owned by it, any associated companies, directors, trustees, officers or senior employees reporting to the Chief Executive Officer:



